## **Statement of Holding of Specified securities**

- 1. Name of Listed Entity: TORRENT PHARMACEUTICALS LIMITED
- 2. Scrip Code/Name of Scrip/Class of Security:

500420

**TORNTPHARM** 

- 3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31(1)(c)
  - a. if under 31(1)(b) then indicate the report for quarter ending

31.12.2020

b. if under 31(1)(c) then indicate date of allotment/extinguishment

**Not Applicable** 

## 4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:

	Particulars Particulars	YES*	NO*
1	Whether the Listed Entity has issued any partly paid up shares		J
2	Whether the Listed Entity has issued any Convertible Securities or		J
	Warrants?		
3	Whether the Listed Entity has any shares against which depository		J
	receipts are issued?		
4	Whether the Listed Entity has any shares in locked-in?		J
5	Whether any shares held by promoters are pledge or otherwise		J
	encumbered?		

<sup>\*</sup>if the Listed Entity selects the option 'NO' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

## 5 The tabular format for disclosure of holding of specified securities is as follows:

Table I - Summary Statement holding of specified securities

Category	Category of Shareholder		No of fully paid up equity shares held	No of Partly paid-up equity shares held	Shares Underlying Depository	Shares Held		Number of V	Voting Ri	urities	Total as	Underlying Outstanding	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Loc	nber of ked in nares			equity shares held in dematerialized form
								Class X	Class Y	Total	a % of (A+B+C )				of total Shares held		of total Shares held	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)		(I	X)		(X)	(XI)		XII)	(XI	II.)	(XIV)
(1)	(11)	(111)	(11)	( • )	( • 1)	(11)	( 111)		(1.			(21)	(211)	(-		(211		(2111)
(A)	Promoter & Promoter Group	11	120564720	0	0	120564720	71.25	120564720	0	120564720	71.25	0	71.25	0	0.00	0	0.00	120564720
(B)	Public	66875	48658000	0	0	48658000	28.75	48658000	0	48658000	28.75	0	28.75	0	0.00	NA	NA	48187170
(C)	Non Promoter- Non Public																	
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(C2)	Shares held by Employees Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
	Total:	66886	169222720	0	0	169222720	100.00	160222720	0	169222720	100.00	0	100.00	0	0.00	0	0.00	168751890
	ı otai:	00880	109222/20	U	U	109222/20	100.00	169222720	U	109222/20	100.00	U	100.00	U	U.UU	U	0.00	108/31890

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholder	No of Shareh olders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholdi ng as a % of total no of shares (A+B+C2)	Number of V		hts held in ea	class of	No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholdi ng as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Lock	ber of ked in ares	Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
							No o	f Voting R	ights	Total as a % of (A+B+C)			No.	As a % of total Shar es held	No.	As a % of total Shares held	
							Class X	Class Y	Total					11010			
(I) (I)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)		<u>(I</u>	X)		(X)	(XI)	()	(II)	(XII	II)	(XIV)
(1) Indian (a) Individuals/Hindu undivided	10	1000	0	0	1000	0.00	1000	0	1000	0.00	0	0.00	0	0.00	0	0.00	1000
Family	10	1000			1000	0.00	1000	· ·	1000	0.00	O	0.00		0.00		0.00	1000
SUDHIR UTTAMLAL	1	100	0	0	100	0.00	100	0	100	0.00	0	0.00	0	0.00	0	0.00	100
MEHTA (HUF)																	
SAMIR UTTAMLAL	1	100	0	0	100	0.00	100	0	100	0.00	0	0.00	0	0.00	0	0.00	100
MEHTA (HUF)	1	100	0		100	0.00	100	0	100	0.00	0	0.00		0.00		0.00	100
SUDHIR UTTAMLAL MEHTA	1	100	0	0	100	0.00	100	0	100	0.00	0	0.00	0	0.00	0	0.00	100
SAMIR UTTAMLAL	1	100	0	0	100	0.00	100	0	100	0.00	0	0.00	0	0.00	0	0.00	100
MEHTA	1	100		"	100	0.00	100	·	100	0.00	· ·	0.00		0.00		0.00	100
ANITA SUDHIR MEHTA	1	100	0	0	100	0.00	100	0	100	0.00	0	0.00	0	0.00	0	0.00	100
SAPNA SAMIR MEHTA	1	100	0	0	100	0.00	100	0		0.00	0		0	0.00	0	0.00	100
JINAL SUDHIR MEHTA	1	100	0			0.00	100	0			0			0.00	0	0.00	100
VARUN SUDHIR MEHTA	1	100	0	0	100	0.00	100	0	100	0.00	0	0.00	0	0.00	0	0.00	100
SHAAN MEHTA	1	100	0	0	100	0.00	100	0	100	0.00	0	0.00	0	0.00	0	0.00	100
AMAN MEHTA	1	100	0	0		0.00	100	0	100	0.00	0	0.00	0	0.00	0	0.00	100
					0		0								-		0
(b) Central Government/State Government(s)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c) Financial Institutions/Banks	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
-/ I manetai Institutons/Banks					l	0.00	U			0.00	O	0.50		0.00	ı	0.00	
(d) Any Other	1	120563720	0	0	120563720	71.25	120563720	0	120563720	71.25	0	71.25	0	0.00	0	0.00	120563720
TORRENT INVESTMENTS	1	120563720	0		120563720	71.25	120563720	0			0			0.00	0	0.00	120563720
PRIVATE LIMITED		120303720			120303720	/1.23	120303720	· ·	120303720	/1.23	Ü	/1.23	"	0.00		0.00	120303720
Sub-Total (A)(1)	11	120564720	0	0	120564720	71.25	120564720	0	120564720	71.25	0	71.25	0	0.00	0	0.00	120564720
(2) Foreign	- 11	120304720	U	T	120307/20	/1.23	120304720	U	120307/20	/1.23	U	/1.23	-	0.00	U	0.00	120304/20
(a) Individuals (Non-Resident	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
Individuals/Foreign							Ü				Ü				ľ	"	
(b) Government	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c) Institutions	0						0				0			0.00	0		0
(d) Foreign Portfolio Investor	0						0				0			0.00	0		0
(e) Any Other	0	-	0			0.00	0	0	-		0			0.00	0		0
Sub-Total (A)(2)	0		0			0.00	0	0			0		_	0.00	0		0
Total Shareholding of	11		0	-	120564720	71.25			120564720		0			0.00	0	0.00	120564720
Promoter and Promoter Group (A)=(A)(1)+(A)(2)												ts which are f					

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.: NA

<sup>(1)</sup> PAN would not be displayed on website of Stock Exchange(s).

<sup>(2)</sup> The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholder	No of Shareholders	No of fully paid up equity shares held		No of Shares Underlying Depository Receipts		l a % of total no		sec	ghts held in each urities		No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	in Shares		Number of Shares pledged or otherwise encumbered		shares held in dematerialized form
									f Voting R		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held	
$\square$								Class X	Class Y	Total								
$\square$	(I)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)			IX)		(X)	(XI)	(	XII)	- (	XIII)	(XIV)
	Institutions																	
(22)	Mutual Funds	29		0	0	11679171	6.90	11679171	0	11679171		(	0.70		0.00		NA	11679171
	HDFC TRUSTEE COMPANY LTD - A/C HDFC MID - CAPOPPORTUNITIES FUND	1	1842200	0	0	1842200		1842200	0	1842200		(	1.07		0.00		NA	1842200
	UTI-UNIT LINKED INSURANCE PLAN	1	1932086	0	0	1932086	1.14	1932086	0	1932086		- (	1.11		0.00		NA	1932086
	MIRAE ASSET EQUITY SAVINGS FUND Venture Capital Funds	1	2364574	0	0	2364574	0.00	2364574	0	2364574	1.40		1.10		0.00		NA NA	2364574
	Alternate Investment Funds	0	495295	0	0	495295	0.00	495295	0	495295			0100		0.00		NA NA	495295
	Foreign Venture Capital Investors	/	493293	0	0	493293	0.29	493293	0	493293	0.29		0.29		0.00		NA NA	493293
	Foreign Portfolio Investors / Foreign Institutional Investors	437	20243058	0	0	20243058	11.96	20243058	0	20243058			11.96		0.00		NA NA	20243058
	FIDELITY INVESTMENT TRUST FIDELITY SERIES EMERGING	437	2076478		0	2076478	1.23	2076478	0	2076478					0.00		NA	2076478
	Indian Financial Institutions/Banks/Nationalised Banks/UTI	5	5725		0	5725		5725	0	5725					0.00		NA	5325
127	Insurance Companies	1	68000		0	68000	0.04	68000	0	68000					0.00		NA	68000
	Provident Funds/Pension Funds	0	0	0	0	00000	0.00	0	0	0	0.00				0.00		NA	0
	Any Other	11	1439756	0	0	1439756	0.85	1439756	0	1439756		(			0.00		NA	1439756
1.7	OUALIFIED INSTITUTIONAL BUYER	11	1439756	0	0	1439756	0.85	1439756	0	1439756	0.85	(	0.85	0	0.00	NA	NA	1439756
	Sub Total (B)(1)	490	33931005	0	0	33931005	20.05	33931005	0	33931005	20.05		20.05	0	0.00	NA	NA	33930605
(2)	Central Government/State Government(s)/President of India	0	0	0	0	0	0.00	0	0	0	0.00	(	0.00	0	0.00	NA	NA	0
	Sub Total (B)(2)	0	0	0	0	0	0.00	0	0	0	0.00	(	0.00	0	0.00	NA	NA	0
(3)	Non-Institutions																	
(a)	i.Individual shareholders holding nominal share capital up to Rs.2 lakhs	61838	8089723	0	0	8089723	4.78	8089723	0	8089723		(	4.78		0.00	NA	NA	7625173
	ii.Individual shareholders holding nominal share capital in excess of Rs. 2 Lakhs	18	4863970	0	0	4863970	2.87	4863970	0	4863970		(	2.87	0	0.00	NA	NA	4863970
(b)	NBFCs Registered with RBI	0	0	0	0	0	0.00	0	0	0	0.00	(	0.00	0	0.00		NA	0
	Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	(	0.00		0.00		NA	0
	Overseas Depositories (Holding DRs)(Balancing figure)	0	0	0	0	0	0.00	0	0	0	0.00	(	0.00		0.00		NA	0
	Any Other	4529			0	1773302	1.05	1773302	0	1773302		(	1.05			NA	NA	1767422
	TRUSTS	11			0	19304	0.01	19304	0	19304		(	0.01		0.00		NA	19304
	NON RESIDENT INDIANS	1558			0	179494		179494	0	179494		(	0.11		0.00		NA	179494
	CLEARING MEMBERS	147			0	99507	0.06	99507	0	99507		(	0.00		0.00		NA	99507
	BODIES CORPORATES	864		0	0	1040842	0.62	1040842	0	1040842	0.00	(	0.00		0.00		NA	1034962
	NON RESIDENT INDIANS - NON REPATRIABLE	839		0	0	153681	0.09	153681	0	153681		(	0.07		0.00		NA	153681
	HINDU UNDIVIDED FAMILY	1109		0	0	183899	0.11	183899	0	183899			0.11		0.00		NA	183899
-	IEPF	1	96575	0	0	96575	0.06	96575	0	96575		(	0.00		0.00	NA	NA	96575
	Sub Total (B)(3) Total Public Shareholding (B) = $(B)(1)+(B)(2)+(B)(3)$	66385	14726995 48658000	0	0	14726995 48658000	8.70 28.75	14726995 48658000	0	14726995 48658000	8.70 28.75	(	8.70 28.75		0.00			14256565 48187170

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %): Nil

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.:

(1) No. of Shareholders in unclaimed suspense account: 160

(2) Outstanding shares held in demat/unclaimed suspense account: 40,820

(2) Outstanding shares held in demat/unclaimed suspense account: 40,820
(3) Voting Rights which are frozen: 40,820
Note:
(1) PAN would not be displayed on website of Stock Exchange(s).
(2) The above format needs to be disclosed along with the name of following persons:
Institutions/Non Institutions holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

	Category & Name of the Shareholder	No of Shareholders	No of fully paid up equity shares held		Underlying Depository	Total No of Shares Held (IV+V+VI)	Shareholdin g as a % of total no of shares (A+B+C2)	otal no of shares Outstanding conversion of convertible Securities		St	Number of Locked in Shares		er of Shares dged or nerwise umbered	Number of equity shares held in dematerialized form				
								No of	Voting	Rights	Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held	
								Class X	Class	Total								
	(I)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	Α		(IX)	!	(X)	(XI)	C	XII)		XIII)	(XIV)
(1)	) Custodian/DR Holder	0	0		0			0	0	(111)	0.00		0.00		T		NA	0
(2)	Employee Benefit Trust under SEBI (Share based Employee Benefit) Regulations 2014	0	0	0	0	0	0.00	0	0	(	0.00	0	0.00	0	0.00	NA	NA	0
	Total Non- Promoter-Non Public Shareholding (C) = (C)(1)+(C)(2)	0	0	0	0	0	0.00	0	0	(	0.00	0	0.00	0	0.00			0

## Note

<sup>(1)</sup> PAN would not be displayed on website of Stock Exchange(s).
(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares.

<sup>(3)</sup> W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.

Table V - Statement showing details of significant beneficial owners (SBOs)\$

Sr	:.	Details of	the SBO		Details of th	ne registered owner	•	Details o	of holding/	Date of creation /			
No	<b>).</b>	(I)	)			(II)		compa	acquisition of				
										(III)			significant beneficial
													interest <sup>#</sup>
	L												(IV)
		Name	PAN / Passport	Nationality	Name	PAN / Passport	Nationality			Whether by virtue	of:		
			No. in case of a			No. in case of a		Shares	Voting	Rights on	Exercise of	Exercise of	
			foreign national			foreign national		(%)	rights	distributable	control	significant	
									(%)	dividend or any		influence	
										other distribution			
										(%)			
								(a)	(b)	(c)	( <b>d</b> )	e	

<sup>\*</sup>In case the nature of the holding / exercise of the right of a SBO falls under multiple categories specified under (a) to (e) under Column III, multiple rows for the same SBO shall be inserted accordingly for each of the categories.

\$ The Companies (Significant Beneficial Owners) Rules, 2018 is not applicable to the extent of the shareholding of the Holding Company, its being the Core Investment Company and regulated by Reserve Bank of India.

For TORRENT PHARMACEUTICALS LIMITED

MAHESH AGRAWAL VP (LEGAL) & COMPANY SECRETARY

<sup>\*</sup>This column shall have the details as specified by the listed entity under Form No. BEN-2 as submitted to the Registrar.